

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) $_{\cdot}$ is attached hereto or \underline{X} was filed on $\underline{May 25, 2002}$ as Application Serial No. $\underline{09/866,034}$ and was amended on $_{\cdot}$ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

Lacknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed:

| Prior Foreign Application(s): | | | | Priority Claimed | | Claimed |
|-------------------------------|-----------------------|------------------------------|---------------------|---|----------------|--|
| is confi | | | | | <u>Yes</u> | <u>No</u> |
| Number | Country | Day/Month/Yo | ear Filed | ethology (Malaha Millia Malaha ya Millia dhabaha na ya Palaha kata na gaga dhabanna ya gaga dhabanna ya Abbanna | | \$2 \$6 as a garage ************************************ |
| 1.9.2 | | , United States Code, §11 | • | States provisional ap | plications(s) | isted below: |
| See Attached Ap | ppendix A (listing 1 | 3 U.S. Provisional Pate | nt Applications) | | | |
| Application Ser. N | No. | Filing Date | | | | |
| I hereby claim the | benefit under Title 3 | 5, United States Code, §1 | 20 of any United St | ates applications(s) | listed below a | and, insofar |
| | | ims of this application is n | | | | |
| | | 35, United States Code, § | | | | |
| | | egulations, §1.56 which o | | | | |
| | | te of this application: | | ŭ | • | |
| See Attached Ap | pendix B (listing 1 | 0 PCT Patent Application | ons) | | | |
| Application Ser. N | No. | Filing Date | Status: | Patented, Pending | g, Abandoned | t |
| Application Ser. N | No. | Filing Date | Status: | Patented, Pending | g, Abandoned | i |
| | | | | | | |





POWER OF ATTORNEY: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

09157

PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Mark T. Kresnak, Ph.D. Telephone: (650) 225-4461

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| Full name of sole or first inventor | |
|---|--------------|
| David Botstein | |
| Inventor's signature | Date 8-29-01 |
| Residence | |
| 2539 Somerset Drive, Belmont, California 94002 | |
| Citizenship US = | |
| Post Office Address | |
| 1 DÑA Way South San Francisco, CA 94080 | |
| Full name of second joint inventor, if any | |
| Luc Desnoyers | |
| Inventor's signature | Date |
| | |
| Residence | |
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| Citizenship CA | |
| Post Office Address | |
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| Full name of third joint inventor, if any | |
| Napoleone Ferrara | |
| Inventor's signature | Date |
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| Citizenship US | |
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Full name of fourth joint inventor, if any

| Sherman Fong | |
|---|------|
| Inventor's signature | Date |
| Residence 19 Basinside Way, Alameda, California 94502 | |
| Citizenship US | |
| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |
| Full name of fifth joint inventor, if any | |
| Wei-Qiang Gao | |
| Inventor's signature | Date |
| Residence 641 Pilgrim Drive, Foster City, California 94404 | |
| Citizenship CN [±] | |
| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |
| Full name of sixth joint inventor, if any Auditey Goddard | |
| Inventor's signature | Date |
| Residence 110 Congo Street, San Francisco, California 94131 | |
| Citizenship CA: | |
| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |
| Full name of seventh joint inventor, if any | |
| Austin L. Gurney | |
| Inventor's signature | Date |
| Residence 1 Debbie Lane, Belmont, California 94002 | |
| Citizenship US | |
| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |





Full name of eighth joint inventor, if any

| James Pan | | |
|---|------|--|
| Inventor's signature | Date | |
| | 2.00 | |
| Residence | | |
| 2705 Coronet Boulevard, Belmont, California 94002 | | |
| Citizenship | | |
| CA | | |
| Post Office Address | | |
| 1 DNA Way South San Francisco, CA 94080 | | |
| Full name of ninth joint inventor, if any | | |
| Margaret Ann Roy | | |
| | | |
| Inventor's signature | Date | |
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| Inventor's signature | | |
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| US | | |
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| 1 DNA Way South San Francisco, CA 94080 | | |
| Full name of eleventh joint inventor, if any | | |
| • | | Manufacture and Apply and an arrange of the Conference of the Conf |
| Daniel Tumas | | |
| Inventor's signature | Date | |
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| Residence | | |
| 3 Rae Avenue, Orinda, California 94563 | | |
| Citizenship US | | |
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| Post Office Address 1 DNA Way | | |
| South San Francisco, CA 94080 | | |





Full name of twelfth joint inventor, if any

| ruii name oi tweitin joint inventor, ir any | | |
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| Colin K. Watanabe | | |
| Inventor's signature - | Date | |
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| 128 Corliss Drive, Moraga, California 94556 | | |
| Citizenship US | | |
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| 1 DNA Way South San Francisco, CA 94080 | | |
| Full name of thirteenth joint inventor, if any | | |
| William I. Wood | | |
| Inventor's signature | Date | |
| Residence | | |
| 35 Southdown Court, Hillsborough, California 94010 | | |
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| Post-Office Address 1 DNA Way | | |
| South San Francisco, CA 94080 | | |
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APPENDIX A

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications listed below:

Serial No. 60/095,325 filed August 4, 1998; Serial No. 60/112,851 filed December 16, 1998; Serial No. 60/113,145 filed December 16, 1998; Serial No. 60/113,511 filed December 22, 1998; Serial No. 60/115,558 filed January 12, 1999; Serial No. 60/115,733 filed January 12, 1999; Serial No. 60/119,341 filed February 9, 1999; Serial No. 60/119,537 filed February 10, 1999; Serial No. 60/119,965 filed February 12, 1999; Serial No. 60/162,506 filed October 29, 1999; Serial No. 60/170,262 filed December 9, 1999; Serial No. 60/187,202 filed March 3, 2000.

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APPENDIX B

I hereby claim the benefit under Title 35, United States Code, §120 of any United States and PCT applications listed below:

Serial No. PCT/US99/12252 filed June 2, 1999;

Serial No. PCT/US99/28634 filed December 1, 1999;

Serial No. PCT/US99/28551 filed December 2, 1999;

Serial No. PCT/US00/03565 filed February 11, 2000;

Serial No. PCT/US00/04414 filed February 22, 2000;

Serial No. PCT/US00/05841 filed March 2, 2000;

Serial No. PCT/US00/08439 filed March 30, 2000;

Serial No. PCT/US00/14941 filed May 30, 2000;

Serial No. PCT/US00/15264 filed June 2, 2000;

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Prior Foreign Application(s): Priority Claimed Yes No IJ Number Day/Month/Year Filed Country ΙЩ Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below: See Attached Appendix A (listing 13 U.S. Provisional Patent Applications) Application Ser. No. Filing Date Thereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the

| See Attached Appendix B (listing 10 PCT Patent Applications) | | | |
|--|-------------|--------------------------------------|--|
| Application Ser. No. | Filing Date | Status: Patented, Pending, Abandoned | |
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| | | | |







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| Full name of sole or first inventor | |
|--|--------------------|
| David Botstein | |
| Inventor's signature | Date |
| · · · · · · · · · · · · · · · · · · · | |
| Residence | |
| 2539 Somerset Drive, Belmont, California 94002 | |
| <u>, 4</u> | |
| Citizenship | |
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| Post Office Address | |
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| South San Francisco, CA 94080 | |
| Full_name of second joint inventor, if any | |
| Luc Desnoyers | 0/4/ |
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| Inventor's signature | Date |
| Jan Jun. | |
| Residence 2050 Stockton Street, San Francisco, California 94133 | |
| Citizenship | |
| CA | |
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| 1 DNA Way | |
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| Full name of third joint inventor, if any | |
| Napoleone Ferrara | |
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| 2090 Pacific Avenue #704, San Francisco, California 94109 | |
| Citizenship US | |
| Post Office Address 1 DNA Way | |
| South San Francisco, CA 94080 | |





Full name of fourth joint inventor, if any

| Sherman Fong | |
|---|------|
| Inventor's signature | Date |
| Residence 19 Basinside Way, Alameda, California 94502 | |
| Citizenship US | |
| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |
| Full name of fifth joint inventor, if any | |
| Wei-Qiang Gao | |
| Inventor's signature | Date |
| Residence 641 Pilgrim Drive, Foster City, California 94404 | |
| Citizenship CN | |
| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |
| Full name of sixth joint inventor, if any Audiey Goddard | |
| Inventor's signature | Date |
| Residence 110 Congo Street, San Francisco, California 94131 | |
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| Full name of seventh joint inventor, if any | |
| Austin L. Gurney | |
| Inventor's signature | Date |
| Residence 1 Debbie Lane, Belmont, California 94002 | |
| Citizenship US | |
| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |





Full name of eighth joint inventor, if any

| James Pan , | |
|--|------|
| Inventor's signature | Date |
| Residence 2705 Coronet Boulevard, Belmont, California 94002 | |
| Citizenship CA | |
| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |
| Full name of ninth joint inventor, if any | |
| Margaret Ann Roy | |
| Inventor's signature | Date |
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| Citizenship US= | |
| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |
| Full name of tenth joint inventor, if any | |
| Timethy A. Stewart Inventor's signature | Date |
| Residence 465 Douglass Street, San Francisco, California 94114 | |
| Citizenship | |
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| Full name of eleventh joint inventor, if any | |
| Daniel Tumas | |
| Inventor's signature | Date |
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| Citizenship US | |
| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |





Full name of twelfth joint inventor, if any

| Colin K. Watanabe | |
|--|------|
| Inventor's signature | Date |
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| Citizenship US | |
| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |
| Full name of thirteenth joint inventor, if any | |
| William I. Wood | |
| Inventor's signature | Date |
| Residence 35 Southdown Court, Hillsborough, California 94010 | |
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Status: Patented, Pending, Abandoned

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|---|--|
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| David Botstein | |
| Inventor's signature | Date |
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| Residence | |
| 2539 Somerset Drive, Belmont, California 94002 | |
| Citizenship | |
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| 1 DNA Way | |
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| Full name of second joint inventor, if any | |
| | |
| Luc Desnoyers | |
| Inventor's signature | Date |
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| Full name of third joint inventor, if any | · · · · · · · · · · · · · · · · · · · |
| Napoleone Ferrara | 9-12-01 |
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Full name of fourth joint inventor, if any

Sherman Fong

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| Residence 19 Basinside Way, Alameda, California 94502 Ditzership US Peal Office Address 10 NA Way South San Francisco, CA 94080 Full name of severith point inventor, if any Wet-Chang Gao Residence 6441 Pilgrim Drive, Foster City, California 94404 Citzenship CN Peat Office Address 10 NA Way South San Francisco, CA 94080 Full name of severith pint inventor, if any Authley Goddard Full group of Stricet, San Francisco, California 94131 Circle ship CA Chywey of Stricet, San Francisco, California 94131 Circle ship Chywy of Stricet, San Francisco, California 94131 Circle ship Chywy Austin L. Gurney Inventor's signature Date Residence 1 Debto Lane, Belmont, California 94002 Citzenship Chywy Chyw | Inventor's signature | Date |
| 19 Basinside Way, Alameda, California 94502 Subsensip US Solit Candress LONA Way South San Francisco, CA 94080 Wei-Clang Gao Inventor's signature Cate Residence 641 Piligrim Drive, Foster City, California 94404 Citizanship CN Labert College Address Lona Way South San Francisco, CA 94080 Full name of seventh joint inventor, if any Austin L. Gurney Long Goddard | 9 | |
| US Post Office Address DNA Way South San Francisco, CA 94080 | Residence 19 Basinside Way, Alameda, California 94502 | |
| South San Francisco, CA 94080 Full name of lifth joint inventor, if any Wei-Clang Gao Inventor's signature Date Residence 641 Pilgrim Drive, Foster City, California 94404 Citizenship CN | Citizenship US | |
| Wei-Qiang Gao Inventor's signature Date Residence 641 Pilgrim Drive, Foster City, California 94404 Citizonship CN Interpret Composition Address 1 DNA Way South San Francisco, CA 94080 Inventor's signature Inventor's signature Inventor's signature Inventor's signature Interpret CA: Interpret Composition Address 1 DNA Way South San Francisco, CA 94080 Full name of seventh joint inventor, if any Austin L. Gurney Inventor's signature Interpret CA: Interpret California 94131 Citizonship CA: Interpret California 94131 Citizonship CA: Interpret California 94002 Citizonship California 94002 Citizonship US Post Office Address 1 Debbie Lane, Belmont, California 94002 Citizonship US Post Office Address 1 DNA Way Post Office Address Post Office Addres | Post Office Address 1 DNA Way South San Francisco, CA 94080 | |
| nventor's signature Date Residence 641 Pilgrim Drive, Foster City, California 94404 Citizenship CN L Post Office Address 1 DNA Way South San Francisco, CA 94080 Full game of sorth joint inventor, if any Audity Goddard III Crongo Street, San Francisco, California 94131 Citizenship CR Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of seventh joint inventor, if any Austin L. Gument Residence 1 Debbic Lane, Belmont, California 94002 Citizenship CR CR CITIZENSHIP CR CR CITIZENSHIP CR | Full name of fifth joint inventor, if any | |
| Residence 641 Pilgrim Drive, Foster City, California 94404 Citizenship CN Post Office Address 1 DNA Way South San Francisco, CA 94080 Till game of sixth joint inventor, if any Audity Goddard Till Congo Street, San Francisco, California 94131 Citizenship CA: TONA Way South San Francisco, California 94131 Citizenship CA: Tona Trancisco, CA 94080 Full name of seventh joint inventor, if any Austin L. Gurney Inventor's signature Date Residence 1 Debbic Lanc, Belmont, California 94002 Citizenship US Post Office Address 1 DNA Way Post Office Address 1 Debbic Lanc, Belmont, California 94002 Citizenship US Post Office Address 1 DNA Way Post Office Address | Wei-Qiang Gao | |
| Citizenship CN | Inventor's signature | Date |
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| 1 DNA Way South San Francisco, CA 94080 Full pame of sixth joint inventor, if any Audity Goddard | Citizenship CN i.± | |
| Auditary Goddard Inventor's signature Date | Post Office Address 1 DNA Way South San Francisco, CA 94080 | |
| Inventor's signature Date Residence 110 Congo Street, San Francisco, California 94131 Citizenship CA: | 17.00 | |
| Residence 110 Congo Street, San Francisco, California 94131 Citizenship CA: 1 Post-Office Address 1 DNA Way South San Francisco, CA 94080 Full name of seventh joint inventor, if any Austin L. Gurney Inventor's signature Date Residence 1 Debbie Lane, Belmont, California 94002 Citizenship US Post Office Address 1 DNA Way | P## | |
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| Austin L. Gurney Inventor's signature Date Residence 1 Debbie Lane, Belmont, California 94002 Citizenship US Post Office Address 1 DNA Way | Post-Office Address 1 DNA Way South San Francisco, CA 94080 | and the second s |
| Austin L. Gurney Inventor's signature Date Residence 1 Debbie Lane, Belmont, California 94002 Citizenship US Post Office Address 1 DNA Way | Full name of seventh joint inventor, if any | |
| Residence 1 Debbie Lane, Belmont, California 94002 Citizenship US Post Office Address 1 DNA Way | | |
| 1 Debbie Lane, Belmont, California 94002 Citizenship US Post Office Address 1 DNA Way | Inventor's signature | Date |
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| | Post Office Address 1 DNA Way South San Francisco, CA 94080 | |





Full name of eighth joint inventor, if any

-

| James Pan | 1 |
|--|------|
| nventor's signature | Date |
| Residence | |
| 2705 Coronet Boulevard, Belmont, California 94002 | |
| Citizenship CA | |
| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |
| Full name of ninth joint inventor, if any | |
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| Inventor's signature | Date |
| Residence 2960 Webster Street #4, San Francisco, California 94123 | |
| Citizenship US | |
| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |
| Full dame of tenth joint inventor, if any Timothy A. Stewart | |
| Inventor's signature | Date |
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| Citizenship US- | |
| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |
| Full name of eleventh joint inventor, if any | |
| Daniel Tumas | |
| Inventor's signature | Date |
| Residence 3 Rae Avenue, Orinda, California 94563 | |
| Citizenship US | |
| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |





Full name of twelfth joint inventor, if any

Colin K. Watanabe

| Inventor's signature | Date |
|--|------|
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| Residence | |
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| 120 Coms Drive, Moraga, Camornia 94330 | |
| Citizenship | |
| US | |
| Post Office Address | |
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| South San Francisco, CA 94080 | |
| Full name of thirteenth joint inventor, if any | |
| William I. Wood | |
| In a start of the | Date |
| Inventor's signature | Date |
| Residence | |
| 35 Southdown Court, Hillsborough, California 94010 | |
| · | |
| Citizenship | |
| US | |
| Post Office Address | |
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APPENDIX A

Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications listed below:

Serial No. 60/095,325 filed August 4, 1998;

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Serial No. 60/113,145 filed December 16, 1998;

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Serial No. 60/115,558 filed January 12, 1999,

Serial No. 60/115,565 filed January 12, 1999;

Serial No. 60/115,733 filed January 12, 1999;

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Serial No. 60/170,262 filed December 9, 1999;

Serfal No. 60/187,202 filed March 3, 2000

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APPENDIX B

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Serial No. PCT/US99/12252 filed June 2, 1999;

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Serial No. PCT/US00/04414 filed February 22, 2000.

Serial No. PCT/US00/05841 filed March 2, 2000;

Serial No. PCT/US00/08439 filed March 30, 2000;

Serial No. PCT/US00/14941 filed May 30, 2000;

Serial No. PCT/US00/15264 filed June 2, 2000;

Serial No. PCT/US00/32678 filed December 1, 2000.

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) $\underline{\underline{}}$ is attached hereto or $\underline{\underline{X}}$ was filed on $\underline{\underline{May 25, 2002}}$ as Application Serial No. $\underline{\underline{09/866,034}}$ and was amended on $\underline{\underline{}}$ (if applicable).

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POWER OF ATTORNEY: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

09157
PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Mark T. Kresnak, Ph.D. Telephone: (650) 225-4461

Thereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon

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| Full name of sole or first inventor | |
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| David Botstein | |
| Inventor's signature | Date |
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| Full name of second joint inventor, if any | |
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Full name of fourth joint inventor, if any

| Sherman | Fong |
|---------|------|
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| Inventor's signature Sherman Forg | 8/21/2001 |
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| Full name of lifth joint inventor, if any | |
| Wei-Qiang Gao | |
| Inventor's signature | Date |
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| Citizenship | |
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| Audite Goddard | |
| Inventor's signature | Date |
| | |
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| Citizenship | |
| CAT | |
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| Full name of seventh joint inventor, if any | |
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| Inventor's signature | Date |
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| Citizenship US | |
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Full name of eighth joint inventor, if any

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|---|------|
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| Citizenship US= | |
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| Full name of tenth joint inventor, if any Timothy A. Stewart | |
| Inventor's signature | Date |
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| Citizenship | |
| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |
| Full name of eleventh joint inventor, if any | |
| Daniel Tumas | |
| Inventor's signature | Date |
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Full name of twelfth joint inventor, if any

| Colin K. Watanabe | |
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| Full name of thirteenth joint inventor, if any | |
| William I. Wood | |
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| Post-Office Address 1 DNA Way South San Francisco, CA 94080 | |
| Harry Grave House | |
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Serial No. 60/187,202 filed March 3, 2000.

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APPENDIX B

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Send correspondence to Genentech, Inc.

Attn: Mark T. Kresnak, Ph.D. Telephone: (650) 225-4461

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| Full name of sole or first inventor | | |
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| David Botstein | | |
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| Full same of second joint inventor, if any | | |
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| Full name of third joint inventor, if any | | |
| Napoleone Ferrara | | |
| Inventor's signature | Date | |
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Full name of fourth joint inventor, if any

| Sherman | Fong | | |
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| US | |
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| South San Francisco, CA 94000 | |
| Full name of fifth joint inventor, if any | |
| Wei-Qiang Gao | |
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| / lligh (ora | 8/14/2001 |
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| Full name of sixth joint inventor, if any | |
| 1 / 1 / 1 / 1 / 1 / 1 / 1 / 1 / 1 / 1 / | |
| Audrey Goddard | |
| Invedtor's signature | Date |
| ļi | Date |
| Residence | |
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| | |
| Citizenship CA | |
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| Full name of seventh joint inventor, if any | |
| Austin L. Gurney | |
| Inventor's signature | |
| os. o signature | Date |
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| Citizenship | |
| US | |
| Post Office Address | |
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Full name of eighth joint inventor, if any

| James Pan | |
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| Citizenship CA | , |
| Post Office Address | |
| 1 DNA Way South San Francisco, CA 94080 | |
| Full name of ninth joint inventor, if any | |
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| Inventor's signature | Date |
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| William I. Wood | |
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Serial No. PCT/US00/15264 filed June 2, 2000:

Serial No. PCT/US00/32678 filed December 1, 2000.

T.J.

Docket No. P2930R1C1

Priority Claimed



As a below named inventor, I hereby declare that:

Prior Foreign Application(s):

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

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| 1 | | | | | Yes | No |
|---|---|---|---|---|---|--|
| Number | Country | Day/Month | Year Filed | | | ngga (ili) ani kalinda kalamanana ngga pan-angga pangga pangga pangga pangga pangga pangga pangga pangga pangga |
| Thereby claim the t | penefit under Title 35, | United States Code, § | 119(e) of any United | d States provisional app | plications(s) I | isted below. |
| See Attached Ap | pendix A (listing 13 | U.S. Provisional Pa | tent Applications |) | | |
| Application Ser. N | lo. | Filing Date | | | | TOTAL CONTRACTOR OF THE PROPERTY OF THE PROPER |
| defined in Title 37 national or PCT in | st paragraph of Title 3 , Code of Federal Re Iternational filing date | b, United States Code gulations, §1.56 which of this application: | e, §112, Lacknowled h occurred betweer | e prior United States a lge the duty to disclose n the filing date of the p | matorialinf | armation on |
| See Attached Ap | pendix B (listing 10 | PCT Patent Applica | <u>itions)</u> | | | |
| Application Ser. N | 10. | Filing Date | Status | s: Patented, Pending | , Abandoned | j |
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| | | | | | M. Account to the contract of | |





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| Full name of sole or first inventor | |
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| Inventor's signature | Date |
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| Full name of second joint inventor, if any | |
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| Luc Desnoyers | |
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| Inventor's signature | Date |
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| Full name of third joint inventor, if any | |
| Napoleone Ferrara | |
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Full name of fourth joint inventor, if any

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| Full name of lifth joint inventor, if any | |
| Wei-Qiang Gao | |
| Inventor's signature | Date |
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| Citizenship CN. | |
| Post Office Address 1 PMA Way South San Francisco, CA 94080 | |
| Full_name of sixth joint inventor, if any Audrey Goddard | |
| Inventor's signature | Date Au 116, 2, 201 |
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| Citizenship CA- | |
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| Full name of seventh joint inventor, if any | |
| Austin L. Gurney | |
| Inventor's signature | Date |
| Residence 1 Debbie Lane, Belmont, California 94002 | |
| Citizenship US | |
| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |





Full name of eighth joint inventor, if any

| James Pan | • |
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| Inventor's signature | Date |
| Residence 2705 Coronet Boulevard, Belmont, California 94002 | |
| Citizenship CA | |
| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |
| Full name of ninth joint inventor, if any | |
| Margaret Ann Roy | |
| Inventor's signature | Date |
| Residence 2960 Webster Street #4, San Francisco, California 94123 | |
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| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |
| Full name of tenth joint inventor, if any Timothy A. Stewart | |
| Inventor's signature | Date |
| Residence 465 Douglass Street, San Francisco, California 94114 | |
| Citizenship US: | |
| Pöst Office Address 1 DNA Way South San Francisco, CA 94080 | |
| Full name of eleventh joint inventor, if any | |
| Daniel Tumas | |
| Inventor's signature | Date |
| Residence 3 Rae Avenue, Orinda, California 94563 | |
| Citizenship US | |
| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |





Full name of twelfth joint inventor, if any

| Colin K. | Watanabe | |
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| Inventor's signature | Date |
|--|------|
| | |
| Residence | |
| 128 Corliss Drive, Moraga, California 94556 | |
| Citizenship | |
| US | |
| Post Office Address | |
| 1 DNA Way South San Francisco, CA 94080 | |
| Souli Sair Valkisco, CA 94000 | |
| Full name of thirteenth joint inventor, if any | |
| William I. Wood | |
| Inventor's signature | Date |
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| Residence | |
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| Citizenship | |
| US [±] | |
| Post Office Address | |
| 1 DAA Way | |
| South San Francisco, CA 94080 | |
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APPENDIX A

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APPENDIX B

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Serial No. PCT/US00/14941 filed May 30, 2000;

Serial No. PCT/US00/15264 filed June 2, 2000;

Serial No. PCT/US00/32678 filed December 1, 2000.





Status: Patented, Pending, Abandoned

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

filling date before that of the application on which priority is claimed:

Application Ser. No.

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) $\underline{\underline{}}$ is attached hereto or $\underline{\underline{X}}$ was filed on $\underline{\underline{May 25, 2002}}$ as Application Serial No. $\underline{\underline{09/866,034}}$ and was amended on $\underline{\underline{}}$ (if applicable).

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09157

PATENT TRADEMARK OFFICE

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Attn: Mark T. Kresnak, Ph.D. Telephone: (650) 225-4461

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| Full name of sole or first inventor | |
|---|--|
| David Botstein | |
| Inventor's signature | Date |
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| Citizenship | |
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| South San Francisco, CA 94080 | |
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| | |
| Luc Desnoyers | |
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| Napoleone Ferrara | |
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Full name of fourth joint inventor, if any

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| Inventor's signature | Date |
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| Full name of sixth joint inventor, if any Audrey Goddard | |
| Inventor's signature | Date |
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| Citizenship | |
| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |
| Full name of seventh joint inventor, if any | |
| Austin L. Gurney | |
| Inventor's signature | Date, () () () () |
| Residence 1 Debbie Lane, Belmont, California 94002 | |
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| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |





Full name of eighth joint inventor, if any

| James Pan | |
|--|--|
| Inventor's signature | Date |
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| | |
| Citizenship CA | |
| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |
| Full name of ninth joint inventor, if any | |
| Margaret Ann Roy | |
| Inventor's signature | Date |
| Residence 2960 Webster Street #4, San Francisco, California 94123 | |
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| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |
| Full name of tenth joint inventor, if any Tinothy A. Stewart | |
| Inventor's signature | Date |
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| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |
| Full name of eleventh joint inventor, if any | |
| Daniel Tumas | |
| Inventor's signature | Date |
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Full name of twelfth joint inventor, if any

| Colin K. Watanabe | |
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| Citizenship US | |
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| William I. Wood | |
| Inventor's signature | Date |
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APPENDIX B

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111111111 09157

PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Mark T. Kresnak, Ph.D. Telephone: (650) 225-4461

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| Full name of sole or first inventor | |
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| Desnoyers | |
| Inventor's signature | Date |
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| Citizenship CA | |
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| Full name of third joint inventor, if any | |
| Napoleone Ferrara | |
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| Citizenship US | |
| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |





Full name of fourth joint inventor, if any

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| Sieman Fong | |
|---|------|
| Inventor's signature | Date |
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| Citizenship US | |
| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |
| Full name of lifth joint inventor, if any | |
| Wei-Qiang Gao | |
| Inventor's signature | Date |
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| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |
| Full name of sixth joint inventor, if any Aludrey Goddard | |
| Inventor's signature | Date |
| Residence 110 Congo Street, San Francisco, California 94131 | |
| Citizenship CA | |
| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |
| Full name of seventh joint inventor, if any | |
| Austin L. Gurney | |
| Inventor's signature | Date |
| Residence 1 Debbie Lane, Belmont, California 94002 | |
| Citizenship US | |
| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |





| Full name of eighth joint inventor, if any | 4 |
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| James Pan | Aug 23/200/ |
| Inventor's signature | Defe |
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| Citizenship CA | |
| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |
| Full name of ninth joint inventor, if any | |
| Margaret Ann Roy | |
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| Full name of sole or first inventor | |
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| David Botstein | |
| Inverdor's signature | Date |
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| Citizenship | |
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| Citizenship | |
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| Margaret Ann Roy | |
| Inventor's signature | 18 Aug. I 2001 |
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| Full name of eleventh joint inventor, if any | |
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Full name of twelfth joint inventor, if any

| Colin | K. | Watanabe |
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| Inventor's signature | Date |
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| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |
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I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications listed below:

APPENDIX A

Serial No. 60/095,325 filed August 4, 1998;

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Serial No. 60/187,202 filed March 3, 2000.

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APPENDIX B

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Serial No. PCT/US00/14941 filed May 30, 2000;

Serial No. PCT/US00/15264 filed June 2, 2000;

Serial No. PCT/US00/32678 filed December 1, 2000.

IJ

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COMBINED ECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) is attached hereto or \underline{X} was filed on $\underline{May 25, 2002}$ as Application Serial No. $\underline{09/866,034}$ and was amended on _ (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above

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09157

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Send correspondence to Genentech, Inc.

Attn: Mark T. Kresnak, Ph.D. Telephone: (650) 225-4461

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| Full name of sole or first inventor | | |
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| Full name of third joint inventor, if any | | The second secon |
| roll hame of folio joint inventor, it any | | |
| Napoleone Ferrara | | |
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APPENDIX B

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Serial No. PCT/US00/15264 filed June 2, 2000;

Serial No. PCT/US00/32678 filed December 1, 2000.

Docket No. P2930R1C1



As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

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Status: Patented, Pending, Abandoned

Filing Date





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09157

PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Mark T. Kresnak, Ph.D. Telephone: (650) 225-4461

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Full name of fourth joint inventor, if any

| Sherman | Eana |
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Full name of eighth joint inventor, if any

James Pan Inventor's signature Date Residence 2705 Coronet Boulevard, Belmont, California 94002 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of ninth joint inventor, if any Margaret Ann Roy Inventor's signature Date Residence 2960 Webster Street #4, San Francisco, California 94123 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of tenth joint inventor, if any Timeothy A. Stewart Inventor's signature Date 1 222 Residence 46្នី Douglass Street, San Francisco, California 94114 Citizienship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of eleventh joint inventor, if any **Daniel Tumas**

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Full name of twelfth joint inventor, if any

| Colin | K. | Watanabe |
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Full name of eighth joint inventor, if any

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| Full name of tenth joint inventor, if any | |
| Tintothy A. Stewart | |
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| · | |
| Daniel Tumas | |
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Full name of twelfth joint inventor, if any

| Colin | K. | Watanabe |
|-------|----|----------|
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| Inventor's signature | Date , |
|--|-------------|
| Inventor's signature Lolin K Watnuk | Ang 14,2001 |
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| | |
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| William I. Wood | |
| Timan 1, 11000 | |
| Inventor's signature | Date |
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APPENDIX A

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications listed below:

Serial No. 60/095,325 filed August 4, 1998;

Serial No. 60/112,851 filed December 16, 1998;

Serial No. 60/113,145 filed December 16, 1998;

Serial No. 60/113,511 filed December 22, 1998;

Serial No. 60/115,558 filed January 12, 1999;

Serial No. 60/115,565 filed January 12, 1999;

Serial No. 60/115,733 filed January 12, 1999;

Serial No. 60/119,341 filed February 9, 1999;

Serial No. 60/119,537 filed February 10, 1999;

Serial No. 60/119,965 filed February 12, 1999;

Serial No. 60/162,506 filed October 29, 1999;

Serial No. 60/170,262 filed December 9, 1999;

Serial No. 60/187,202 filed March 3, 2000.

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APPENDIX B

I hereby claim the benefit under Title 35, United States Code, §120 of any United States and PCT applications listed below-

Serial No. PCT/US99/12252 filed June 2, 1999;

Serial No. PCT/US99/28634 filed December 1, 1999;

Serial No. PCT/US99/28551 filed December 2, 1999;

Serial No. PCT/US00/03565 filed February 11, 2000;

Serial No. PCT/US00/04414 filed February 22, 2000;

Serial No. PCT/US00/05841 filed March 2, 2000;

Serial No. PCT/US00/08439 filed March 30, 2000;

Serial No. PCT/US00/14941 filed May 30, 2000;

Serial No. PCT/US00/15264 filed June 2, 2000;

Serial No. PCT/US00/32678 filed December 1, 2000.

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) is attached hereto or X was filed on May 25, 2002 as Application Serial No. 09/866,034 and was amended on (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

Lacknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37. Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed:

| Prior Foreign Application(s): | | | Priority C Yes | | |
|--|---------------------------|--|---|--|--|
| , , | | | | 103 | <u>No</u> |
| Number | Country | Day/Month/Yea | ır Filed | ментом нементом неме | |
| I hereby claim the | benefit under Title 35, | United States Code, §119 | (e) of any United States provisional ap | plications(s) listo | ed below |
| See Attached A | ppendix A (listing 13 | 3 U.S. Provisional Paten | Applications) | | |
| Application Ser. | | Filing Date | | | and a second second |
| provided by the fi defined in Title 3 | irst paragraph of Title 3 | 35, United States Code, §1 egulations, §1.56 which oc | t disclosed in the prior United States at 12, I acknowledge the duty to disclos curred between the filing date of the | e material inforr | mation as |
| See Attached A | ppendix B (listing 1 | PCT Patent Application | ns) | | |
| Application Ser. | | Filing Date | Status: Patented, Pending | g, Abandoned | www.infidespillini.com/infidespillini.com/ |
| Application Ser. | No. | Filing Date | Status: Patented, Pending | g, Abandoned | THE PROPERTY OF THE PROPERTY O |
| | | | | | SOCIETA PROPERTY PROPERTY IN THE PROPERTY IN T |





POWER OF ATTORNEY: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

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PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Mark T. Kresnak, Ph.D. Telephone: (650) 225-4461

Thereby declare that all statements made herein of my own knowledge and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent agent as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

| Full name of sole or first inventor | |
|---|------|
| David Botstein | |
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| Luc Desnoyers | |
| Lid Desnoyers | |
| Inventor's signature | Date |
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| Full name of third joint inventor, if any | |
| Napoleone Ferrara | |
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Full name of fourth joint inventor, if any

| Shemen | Fond |
|--------|------|

| Stettian Fong | |
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| Inventor's signature | Date |
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| Full name of fifth joint inventor, if any | |
| Wei-Qiang Gao | |
| Inventor's signature | Date |
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| Citizenship CN=- :=; | |
| Post-Office Address 1 DNA Way South San Francisco, CA 94080 | |
| Full name of sixth joint inventor, if any Audrey Goddard | |
| Inventor's signature | Date |
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| Full name of seventh joint inventor, if any | |
| Austin L. Gurney | |
| Inventor's signature | Date |
| Residence 1 Debbie Lane, Belmont, California 94002 | |
| Citizenship US | |
| Post Office Address 1 DNA Way South San Francisco, CA 94080 | |
| | |





Full name of eighth joint inventor, if any

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|---|------|---|-----|----|-------|-----|----|
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James Pan Inventor's signature Date Residence 2705 Coronet Boulevard, Belmont, California 94002 Citizenship Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of ninth joint inventor, if any Margaret Ann Roy Inventor's signature Date Residence 2960 Webster Street #4, San Francisco, California 94123 Citizenship US Post-Office Address 1 DNA Way South San Francisco, CA 94080 Full name of tenth joint inventor, if any Timethy A. Stewart Inventor's signature Date Residence 465 Douglass Street, San Francisco, California 94114 Citizenship US-Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of eleventh joint inventor, if any **Daniel Tumas** Inventor's signature Date Residence 3 Rae Avenue, Orinda, California 94563 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080





Full name of twelfth joint inventor, if any

| Colin K. Watanabe | | | | | | |
|---|------------------------|--|--|--|--|--|
| Inventor's signature | Date | | | | | |
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| Post Office Address 1 DNA Way South San Francisco, CA 94080 | | | | | | |
| Full name of thirteenth joint inventor, if any William I. Wood | | | | | | |
| Inventor's signature | Date & 1 \lambda 1 | | | | | |
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| Post Office Address 1 DNA Way South San Francisco, CA 94080 | | | | | | |
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| H. | | | | | | |





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Serial No. 60/119,341 filed February 9, 1999;

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Serial No. 60/162,506 filed October 29, 1999;

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Serial No. PCT/US00/03565 filed February 11, 2000;

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